

## ON THE STRUCTURE OF SEPARABLE $\mathcal{L}_\infty$ -SPACES

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*Abstract.* Based on a construction method introduced by Bourgain and Delbaen, we give a general definition of a Bourgain–Delbaen space and prove that every infinite-dimensional separable  $\mathcal{L}_\infty$ -space is isomorphic to such a space. Furthermore, we provide an example of a  $\mathcal{L}_\infty$  and asymptotic  $c_0$  space not containing  $c_0$ .

§1. *Introduction.* In the late 1960s Lindenstrauss and Pełczyński introduced the class of  $\mathcal{L}_\infty$ -spaces, which naturally extends the class of  $L_\infty$ -spaces [11]. Whether such spaces always contain a copy of  $c_0$  remained a long standing open problem, which was solved in the negative direction by Bourgain and Delbaen in [6]. In particular, they introduced a method for constructing  $\mathcal{L}_\infty$ -spaces and any example constructed with its use has been customarily called a Bourgain–Delbaen space. This method proved to be very fruitful, as it has been used extensively to construct a wide variety of  $\mathcal{L}_\infty$ -spaces, including the first example of a Banach space satisfying the “scalar plus compact” property [5], as well as to obtain other structural results in the geometry of Banach spaces [4, 7].

The main framework of the Bourgain–Delbaen method concerns the construction of an increasing sequence  $(Y_n)_n$  of finite-dimensional subspaces of a  $\ell_\infty(\Gamma)$ -space, with  $\Gamma$  countably infinite, each one uniformly isomorphic to some  $\ell_\infty^n$ . This is achieved by carefully defining a sequence of extension operators  $(i_n)_n$ , each one defined on  $\ell_\infty(\Gamma_n)$  with  $\Gamma_n$  an appropriate finite subset of  $\Gamma$ , and taking  $Y_n$  to be the image of  $i_n$ . If the sequence  $(i_n)_n$  satisfies a certain compatibility property, then the closure of the union of the  $Y_n$ ,  $n \in \mathbb{N}$ , is a  $\mathcal{L}_\infty$ -space whose properties depend on the definition of the aforementioned extension operators.

Based on this method, we give a broad definition of a Bourgain–Delbaen space. We include a brief study of the basic properties of such spaces and, using techniques rooted in the early theory of  $\mathcal{L}_\infty$ -spaces (i.e. [9–14]), we prove that every separable infinite-dimensional  $\mathcal{L}_\infty$ -space is isomorphic to such a space. We use this result to deduce that every separable infinite-dimensional  $\mathcal{L}_\infty$ -space  $X$  has an infinite-dimensional  $\mathcal{L}_\infty$ -subspace  $Z$ , so that the quotient  $X/Z$  is isomorphic to  $c_0$ .

In the final section of this paper we provide an example of an asymptotic  $c_0$  isomorphic  $\ell_1$ -predual (i.e. a space whose dual is isomorphic to  $\ell_1$ )  $\mathfrak{X}_0$  that does not contain  $c_0$ . This result in particular yields that the proximity of a Banach space to  $c_0$  in a local setting, in the sense of being  $\mathcal{L}_\infty$ , as well as in an asymptotic

setting, in the sense of being asymptotic  $c_0$ , does not imply proximity to  $c_0$  in an infinite-dimensional level. We think of this example as a step towards the solution of a problem in [8, Question IV.2], namely whether every isomorphic  $\ell_1$ -predual satisfying Pełczyński’s property-(u) is isomorphic to  $c_0$ . The question of the existence of an asymptotic  $c_0$   $\mathcal{L}_\infty$ -space not containing  $c_0$  was asked by B. Sari.

§2. *Bourgain–Delbaen spaces.* In the present section we give a general definition of the spaces that shall be called Bourgain–Delbaen spaces and prove some of their basic properties. It turns out that every infinite-dimensional separable  $\mathcal{L}_\infty$ -space is isomorphic to a Bourgain–Delbaen space. We recall the definition of a  $\mathcal{L}_\infty$ -space, which was introduced in [11, Definition 3.1].

*Definition 2.1.* A Banach space  $X$  is called a  $\mathcal{L}_{\infty,C}$ -space, for some  $C \geq 1$ , if for every finite-dimensional subspace  $F$  of  $X$  there exists a finite-dimensional subspace  $G$  of  $X$ , containing  $F$ , which is  $C$ -isomorphic to  $\ell_\infty^n$ , where  $n = \dim G$ . A Banach space  $X$  will be called a  $\mathcal{L}_\infty$ -space if it is a  $\mathcal{L}_{\infty,C}$ -space for some  $C \geq 1$ .

*Remark 2.2.* If  $X$  is an infinite-dimensional separable Banach space, then it is well known, and not difficult to prove, that  $X$  is a  $\mathcal{L}_\infty$ -space if and only if there exist a constant  $C$  and an increasing sequence  $(Y_n)_n$  of finite-dimensional subspaces of  $X$ , whose union is dense in  $X$ , such that  $Y_n$  is  $C$ -isomorphic to  $\ell_\infty^{k_n}$ , where  $k_n = \dim Y_n$ , for all  $n \in \mathbb{N}$ .

2.1. *The definition of a Bourgain–Delbaen space.* We give a broad definition of what kind of spaces we will refer to as Bourgain–Delbaen spaces.

*Definition 2.3.* Let  $\Gamma_1, \Gamma$  be non-empty sets with  $\Gamma_1 \subset \Gamma$ . A linear operator  $i : \ell_\infty(\Gamma_1) \rightarrow \ell_\infty(\Gamma)$  will be called an extension operator if, for every  $x \in \ell_\infty(\Gamma_1)$  and  $\gamma \in \Gamma_1$ , we have that  $x(\gamma) = i(x)(\gamma)$ .

*Definition 2.4.* Let  $(\Gamma_q)_{q=0}^\infty$  be a strictly increasing sequence of non-empty sets and  $\Gamma = \bigcup_q \Gamma_q$ . A sequence of extension operators  $(i_q)_{q=0}^\infty$ , with  $i_q : \ell_\infty(\Gamma_q) \rightarrow \ell_\infty(\Gamma)$  for all  $q \in \mathbb{N} \cup \{0\}$ , will be called compatible if, for every  $p, q \in \mathbb{N} \cup \{0\}$  with  $p < q$  and  $x \in \ell_\infty(\Gamma_p)$ , the following holds:

$$i_p(x) = i_q(r_q(i_p(x))),$$

i.e.  $i_p = i_q \circ r_q \circ i_p$ , where  $r_q : \ell_\infty(\Gamma) \rightarrow \ell_\infty(\Gamma_q)$  denotes the natural restriction operator.

*Definition 2.5.* Let  $(\Gamma_q)_{q=0}^\infty$  be a strictly increasing sequence of non-empty finite sets,  $\Gamma = \bigcup_q \Gamma_q$  and  $(i_q)_{q=0}^\infty$ , with  $i_q : \ell_\infty(\Gamma_q) \rightarrow \ell_\infty(\Gamma)$  for all  $q \in \mathbb{N} \cup \{0\}$ , be a sequence of compatible extension operators such that  $C = \sup_q \|i_q\|$  is finite.

- (i) We define the sets  $\Delta_0 = \Gamma_0$  and  $\Delta_q = \Gamma_q \setminus \Gamma_{q-1}$  for  $q \in \mathbb{N} \cup \{0\}$ .
- (ii) For every  $\gamma \in \Gamma$ , we define  $d_\gamma$ , a vector in  $\ell_\infty(\Gamma)$ , as follows: if  $\gamma \in \Delta_q$  for some  $q \in \mathbb{N} \cup \{0\}$ , then  $d_\gamma = i_q(e_\gamma)$ .

The space  $\mathfrak{X}_{(\Gamma_q, i_q)_q} = \overline{\langle \{d_\gamma : \gamma \in \Gamma\} \rangle}$ , i.e. the closed subspace of  $\ell_\infty(\Gamma)$  spanned by the vectors  $(d_\gamma)_{\gamma \in \Gamma}$ , will be called a Bourgain–Delbaen space.

*Remark 2.6.* Since the operators  $i_q : \ell_\infty(\Gamma_q) \rightarrow \ell_\infty(\Gamma)$  are extension operators, it easily follows that  $i_q$  is a  $C$ -isomorphism for all  $q \in \mathbb{N} \cup \{0\}$ , where  $C = \sup_q \|i_q\|$ . In particular, the following hold:

- (i) if  $Y_q = i_q[\ell_\infty(\Gamma_q)]$ , then  $Y_q$  is  $C$ -isomorphic to  $\ell_\infty(\Gamma_q)$ ; and
- (ii) the vectors  $(d_\gamma)_{\gamma \in \Delta_q}$  are  $C$ -equivalent to the unit vector basis of  $\ell_\infty(\Delta_q)$  for all  $q \in \mathbb{N} \cup \{0\}$ .

*Remark 2.7.* The above Remark 2.6 and Proposition 2.12 from §2.2 provide an equivalent definition of a Bourgain–Delbaen space, namely the following.

Let  $(\Gamma_q)_q$  be a strictly increasing sequence of finite non-empty sets and  $(Y_q)_q$  be an increasing sequence of subspaces of  $\ell_\infty(\Gamma)$ , where  $\Gamma = \bigcup_q \Gamma_q$ . If there exists a constant  $C > 0$  such that for every  $q \in \mathbb{N}$ , when restricted onto the subspace  $Y_q$ , the restriction operator  $r_q : Y_q \rightarrow \ell_\infty(\Gamma_q)$  is an onto  $C$ -isomorphism, then the space  $X = \overline{\bigcup_q Y_q}$  is a Bourgain–Delbaen space.

Indeed, it is straightforward to check that the maps  $i_q : \ell_\infty(\Gamma_q) \rightarrow \ell_\infty(\Gamma)$  with  $i_q = r_q^{-1} : \ell_\infty(\Gamma_q) \rightarrow Y_q \hookrightarrow \ell_\infty(\Gamma)$  are uniformly bounded compatible extension operators and  $\mathfrak{X}_{(\Gamma_q, i_q)_q} = X$ .

**2.2. Properties of a Bourgain–Delbaen space.** We present some basic properties of a Bourgain–Delbaen space, which can be deduced from Definition 2.5.

**PROPOSITION 2.8.** *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. For all  $q \in \mathbb{N} \cup \{0\}$ , we denote  $M_q = \langle \{d_\gamma : \gamma \in \Delta_q\} \rangle$ . Then  $(M_q)_{q=0}^\infty$  forms a finite-dimensional decomposition (FDD) for the space  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . More precisely, for every  $p, q \in \mathbb{N} \cup \{0\}$  with  $p < q$  and  $x_\ell \in M_\ell$  for  $\ell = 0, 1, \dots, q$ , the following holds:*

$$\left\| \sum_{\ell=0}^p x_\ell \right\| \leq C \left\| \sum_{\ell=0}^q x_\ell \right\|, \tag{1}$$

where  $C = \sup_q \|i_q\|$ .

*Proof.* Let  $x_\ell = i_\ell(y_\ell)$  for some  $y_\ell \in \langle \{e_\gamma : \gamma \in \Delta_\ell\} \rangle$  for  $\ell = 0, 1, \dots, q$ . Then, by the compatibility of the operators, we have that  $x_\ell = i_p(r_p(i_\ell(y_\ell)))$ , i.e.  $x_\ell = i_p \circ r_p(x_\ell)$  for  $\ell = 0, 1, \dots, p$  and hence

$$\left\| \sum_{\ell=0}^p x_\ell \right\| = \left\| i_p \circ r_p \left( \sum_{\ell=0}^p x_\ell \right) \right\| \leq C \left\| r_p \left( \sum_{\ell=0}^p x_\ell \right) \right\|. \tag{2}$$

On the other hand, once more by the extension property of the operators, we have that  $r_p(x_\ell) = 0$  (which also yields that  $i_p \circ r_p(x_\ell) = 0$ ) for  $\ell = p + 1, \dots, q$  and therefore we obtain

$$\left\| \sum_{\ell=0}^q x_\ell \right\| \geq \left\| r_p \left( \sum_{\ell=0}^q x_\ell \right) \right\| = \left\| r_p \left( \sum_{\ell=0}^p x_\ell \right) \right\|. \tag{3}$$

The desired inequality immediately follows from (2) and (3). □

*Remark 2.9.* By the proposition above, for every interval  $E = \{p, \dots, q\}$  of  $\mathbb{N} \cup \{0\}$  we can define the projection  $P_E : \mathfrak{X}_{(\Gamma_q, i_q)_q} \rightarrow M_p + \dots + M_q$  associated to the FDD  $(M_q)_q$  and the interval  $E$ . The above proof implies that

$$P_{[0,q]}x = i_q \circ r_q(x) \tag{4}$$

for all  $q \in \mathbb{N} \cup \{0\}$  and  $x \in \mathfrak{X}_{(\Gamma_q, i_q)_q}$  and hence also

$$P_{(p,q]}x = i_q \circ r_q(x) - i_p \circ r_p(x) \tag{5}$$

for all  $p, q \in \mathbb{N} \cup \{0\}$  with  $p < q$  and  $x \in \mathfrak{X}_{(\Gamma_q, i_q)_q}$ . We shall call  $P_E$  the Bourgain–Delbaen projection onto  $E$ . Note that  $\|P_E\| \leq 2C$  for every interval  $E$  of  $\mathbb{N} \cup \{0\}$ .

*Remark 2.10.* Proposition 2.8 in conjunction with Remark 2.6(ii) yield that  $((d_\gamma)_{\gamma \in \Delta_q})_{q=0}^\infty$  is a Schauder basis of  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . Although in some cases it is more convenient to use the FDD  $(M_q)_q$ , in §5 we shall indeed use this basis.

*Remark 2.11.* Let  $x$  be a finitely supported vector in  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  with  $\text{ran } x = E$ . Using Remark 2.9, one can see that if  $q = \max E$ , there exists  $y \in \ell_\infty(\Gamma_q)$  with  $\text{supp } y \subset \bigcup_{p \in E} \Delta_p$  such that  $x = i_q(y)$ .

**PROPOSITION 2.12.** *Every Bourgain–Delbaen space  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  is a  $\mathcal{L}_\infty$ -space. More precisely, if  $Y_q = i_q[\ell_\infty(\Gamma_q)]$  for all  $q \in \mathbb{N} \cup \{0\}$ , then  $(Y_q)_q$  is a strictly increasing sequence of subspaces of  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ , whose union is dense in the whole space and for every  $q \in \mathbb{N} \cup \{0\}$   $Y_q$  is  $C$ -isomorphic to  $\ell_\infty(\Gamma_q)$ , where  $C = \sup_q \|i_q\|$ .*

*Proof.* By Remark 2.6(i), we have that  $Y_q$  is  $C$ -isomorphic to  $\ell_\infty(\Gamma_q)$  for all  $q \in \mathbb{N} \cup \{0\}$ . It remains to show that the sequence  $(Y_q)_q$  is strictly increasing and its union is dense in  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . We will prove that  $Y_q = M_0 + \dots + M_q$ , where  $M_q = \{(d_\gamma : \gamma \in \Delta_q)\}$  for all  $q \in \mathbb{N} \cup \{0\}$ . Note that, in conjunction with Proposition 2.8, the previous fact easily implies the desired result.

Note that for  $p, q \in \mathbb{N} \cup \{0\}$  with  $p \leq q$  and  $x \in M_p$ , there is a  $y \in \{(e_\gamma : \gamma \in \Delta_p)\}$  with  $x = i_p(y)$ . Using the compatibility of the extension operators, we obtain that  $x = i_q(r_q(x)) \in i_q(\ell_\infty(\Gamma_q)) = Y_q$ . We have hence concluded that  $M_0 + \dots + M_q \subset Y_q$ . To conclude that the above inclusion cannot be proper, we will show that  $\dim(M_0 + \dots + M_q) = \dim Y_q$ . Note that since, by Proposition 2.8,

$(M_q)_{q=0}^\infty$  is an FDD, we have that  $\dim(M_0 + \dots + M_q) = \dim(M_0) + \dots + \dim(M_q)$ . Moreover, Remark 2.6(ii) implies that  $\dim(M_p) = \#\Delta_p$  for  $p = 0, \dots, q$ . The definition of the sets  $\Delta_p$  yields that  $\dim(M_0 + \dots + M_q) = \#\Gamma_q$ . Remark 2.6(i) implies that  $\dim Y_q = \#\Gamma_q$  and therefore  $\dim(M_0 + \dots + M_q) = \dim Y_q$ .  $\square$

2.3. *The functionals  $(e_\gamma^*)_{\gamma \in \Gamma}$ .* Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. For every  $\gamma \in \Gamma$ , we denote by  $e_\gamma^* : \mathfrak{X}_{(\Gamma_q, i_q)_q} \rightarrow \mathbb{R}$  the evaluation functional on the  $\gamma$ th coordinate, defined on  $\ell_\infty(\Gamma)$  and then restricted to the subspace  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . Note that  $\|e_\gamma^*\| \leq 1$  for all  $\gamma \in \Gamma$ .

PROPOSITION 2.13. *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. Then  $(e_\gamma^*)_{\gamma \in \Gamma}$  is  $C$ -equivalent to the unit vector basis of  $\ell_1(\Gamma)$ , where  $C = \sup_q \|i_q\|$ .*

*Proof.* Let  $A$  be a non-empty finite subset of  $\Gamma$  and  $(\lambda_\gamma)_{\gamma \in A}$  be scalars. Choose  $q \in \mathbb{N} \cup \{0\}$  such that  $A \subset \Gamma_q$  and take the normalized vector  $y = \sum_{\gamma \in A} \operatorname{sgn} \lambda_\gamma e_\gamma$  in  $\ell_\infty(\Gamma_q)$ . Note that  $\|i_q(y)\| \leq C$ . Since  $i_q$  is an extension operator and  $A \subset \Gamma_q$ , we obtain the following estimate:

$$\begin{aligned} C \sum_{\gamma \in A} |\lambda_\gamma| &\geq C \left\| \sum_{\gamma \in A} \lambda_\gamma e_\gamma^* \right\| \geq \sum_{\gamma \in A} \lambda_\gamma e_\gamma^*(i_q(y)) = \sum_{\gamma \in A} \lambda_\gamma i_q(y)(\gamma) \\ &= \sum_{\gamma \in A} \lambda_\gamma y(\gamma) = \sum_{\gamma \in A} \lambda_\gamma \operatorname{sgn} \lambda_\gamma = \sum_{\gamma \in A} |\lambda_\gamma|, \end{aligned}$$

i.e.  $C^{-1} \sum |\lambda_\gamma| \leq \|\sum \lambda_\gamma e_\gamma^*\| \leq \sum |\lambda_\gamma|$ , which is the desired result.  $\square$

Definition 2.14. Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. For every  $\gamma \in \Gamma$ , we define two bounded linear functionals  $c_\gamma^*, d_\gamma^* : \mathfrak{X}_{(\Gamma_q, i_q)_q} \rightarrow \mathbb{R}$  as follows:

- (i) if  $\gamma \in \Delta_0$ , then  $c_\gamma^* = 0$  and otherwise if  $\gamma \in \Delta_{q+1}$  for some  $q \in \mathbb{N} \cup \{0\}$ , then  $c_\gamma^* = e_\gamma^* \circ i_q \circ r_q$ ; and
- (ii)  $d_\gamma^* = e_\gamma^* - c_\gamma^*$  for all  $\gamma \in \Gamma$ .

Remark 2.15. By Remark 2.9, we obtain that if  $q \in \mathbb{N} \cup \{0\}$  and  $\gamma \in \Delta_{q+1}$ , then  $c_\gamma^* = e_\gamma^* \circ P_{[0, q]}$  and hence  $d_\gamma^* = e_\gamma^* \circ P_{(q, \infty)}$ . Also, using the extension property of the operators  $i_q$ , it easily follows from Remark 2.9 that for  $p \in \mathbb{N} \cup \{0\}$  and  $\gamma \in \Gamma_p$  we have that  $e_\gamma^* = e_\gamma^* \circ P_{[0, p]}$ , which also implies that if  $\gamma \in \Delta_p$ , then  $d_\gamma^* = e_\gamma^* \circ P_{\{p\}}$ . Moreover, for  $\gamma \in \Delta_0$ ,  $d_\gamma^* = e_\gamma^*$ .

LEMMA 2.16. *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. For all  $q \in \mathbb{N} \cup \{0\}$  and  $\gamma_0 \in \Delta_{q+1}$ , the functional  $c_{\gamma_0}^*$  is in the linear span of  $\{e_\gamma^* : \gamma \in \Gamma_q\}$ .*

*Proof.* It suffices to show that  $\bigcap_{\gamma \in \Gamma_q} \ker e_\gamma^* \subset \ker c_{\gamma_0}^*$  and to that end let  $x \in \mathfrak{X}_{(\Gamma_q, i_q)_q}$  with  $e_\gamma^*(x) = 0$  for all  $\gamma \in \Gamma_q$ . Recall that  $x$  is also a vector in  $\ell_\infty(\Gamma)$  and in particular we have that  $x(\gamma) = e_\gamma^*(x) = 0$  for all  $\gamma \in \Gamma_q$  and hence  $r_q(x) = 0$ . By the definition of  $c_{\gamma_0}^*$ , it easily follows that  $c_{\gamma_0}^*(x) = 0$ .  $\square$

PROPOSITION 2.17. *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space. The following hold.*

- (i) *The functionals  $(d_\gamma^*)_{\gamma \in \Gamma}$  are biorthogonal to the vectors  $(d_\gamma)_{\gamma \in \Gamma}$ .*
- (ii) *For all  $q \in \mathbb{N} \cup \{0\}$ , we have that  $\langle \{d_\gamma^* : \gamma \in \Gamma_q\} \rangle = \langle \{e_\gamma^* : \gamma \in \Gamma_q\} \rangle$ . In particular, the closed linear span of the functionals  $(d_\gamma^*)_{\gamma \in \Gamma}$  is  $C$ -isomorphic to  $\ell_1$ , where  $C = \sup_q \|i_q\|$ .*
- (iii) *If moreover the FDD  $(M_q)_q$  is shrinking, then the closed linear span of the functionals  $(d_\gamma^*)_{\gamma \in \Gamma}$  is  $\mathfrak{X}_{(\Gamma_q, i_q)_q}^*$ . In particular,  $\mathfrak{X}_{(\Gamma_q, i_q)_q}^*$  is  $C$ -isomorphic to  $\ell_1$ .*

*Proof.* The first statement easily follows from Remark 2.15, in particular the fact that for  $q \in \mathbb{N} \cup \{0\}$  and  $\gamma \in \Delta_q$ , we have that  $d_\gamma^* = e_\gamma^* \circ P_{\{q\}}$ .

For the proof of (ii), observe that by Lemma 2.16 and  $d_\gamma^* = e_\gamma^* - c_\gamma^*$ , we have that  $\langle \{d_\gamma^* : \gamma \in \Gamma_q\} \rangle \subset \langle \{e_\gamma^* : \gamma \in \Gamma_q\} \rangle$ . Moreover, (i) implies that  $\dim(\langle \{d_\gamma^* : \gamma \in \Gamma_q\} \rangle) = \#\Gamma_q$  and hence the inclusion cannot be proper. The last part of statement (ii) follows from Proposition 2.13.

To prove the last statement, note that if  $(M_q)_q$  is shrinking, then so is the basis  $((d_\gamma)_{\gamma \in \Delta_q})_{q=0}^\infty$ . From (ii) the desired result follows. □

§3. *Separable  $\mathcal{L}_\infty$ -spaces are Bourgain–Delbaen spaces.* We combine some simple remarks concerning Bourgain–Delbaen spaces with results from [9], [10] and [14] to prove that whenever  $X$  is an infinite-dimensional separable  $\mathcal{L}_\infty$ -space, then  $X$  is isomorphic to a Bourgain–Delbaen space.

LEMMA 3.1. *Let  $(\mu_i)_i$  be a bounded sequence in  $\mathcal{M}[0, 1]$ . Then there exists a sequence  $(t_i)_i$  of elements of  $[0, 1]$  such that if  $v_i = \mu_i + \delta_{t_i}$  for all  $i \in \mathbb{N}$ , then the following hold:*

- (i) *the sequence  $(v_i)_i$  is equivalent to the unit vector basis of  $\ell_1(\mathbb{N})$ ; and*
- (ii) *the space  $Y = [(v_i)_i]$  is complemented in  $\mathcal{M}[0, 1]$ .*

*Proof.* Find a sequence  $(t_i)_i$  of elements of  $[0, 1]$  so that  $\mu_j(\{t_i\}) = 0$  for all  $i, j \in \mathbb{N}$ . Setting  $v_i = \mu_i + \delta_{t_i}$ , it can be shown that  $(v_i)_i$  is equivalent to the basis of  $\ell_1$  and that the map  $S\mu = \sum_{i=1}^\infty \mu(\{t_i\})v_i$  is a bounded projection onto the space  $[(v_i)_i]$ . □

LEMMA 3.2. *Let  $X$  be an infinite-dimensional and separable  $\mathcal{L}_\infty$ -space. Then there exists a sequence  $(x_i^*)_i$  in  $X^*$  satisfying the following:*

- (i) *the sequence  $(x_i^*)_i$  is equivalent to the unit vector basis of  $\ell_1(\mathbb{N})$ ;*
- (ii) *there exists a constant  $\theta > 0$  such that  $\theta \|x\| \leq \sup_i |x_i^*(x)|$  for all  $x \in X$ ; and*
- (iii) *the space  $Y = [(x_i^*)_i]$  is complemented in  $X^*$ .*

*Proof.* As is shown in [14], the dual of  $X$  is isomorphic either to  $\ell_1(\mathbb{N})$  or to  $\mathcal{M}[0, 1]$  (see also [10]). In the first case, just choose a Schauder basis  $(x_i^*)_i$  of  $X^*$  which is equivalent to the unit vector basis of  $\ell_1(\mathbb{N})$ .

In the second case, let  $T : X^* \rightarrow \mathcal{M}[0, 1]$  be an onto isomorphism and choose a normalized sequence  $(z_i^*)_i$  in  $X^*$  such that  $\|x\| = \sup_i |z_i^*(x)|$  for all  $x \in X$ . Fix  $C > \|T^{-1}\|$  and apply Lemma 3.1 to the sequence  $(\mu_i)_i$  with  $\mu_i = CTz_i^*$  for all  $i \in \mathbb{N}$  to find a sequence  $(t_i)_i$  in  $[0, 1]$  such that if  $v_i = \mu_i + \delta_{t_i}$  for all  $i \in \mathbb{N}$ , then  $(v_i)_i$  satisfies the conclusion of that lemma. Setting  $x_i^* = T^{-1}v_i$  for all  $i \in \mathbb{N}$ , it is not hard to check that, for  $\theta = C - \|T^{-1}\|$ , the sequence  $(x_i^*)_i$  is the desired one.  $\square$

LEMMA 3.3. *Let  $X$  be a  $\mathcal{L}_{\infty, \lambda}$ -space,  $Y$  be a subspace of  $X^*$  and assume that there exists a constant  $\theta > 0$  so that for all  $x \in X$ ,  $\theta\|x\| \leq \sup\{|y^*(x)| : y^* \in B_{Y^*}\}$ . Then for every finite-dimensional subspace  $F$  of  $X$  and every  $\varepsilon > 0$  there exists a finite-rank operator  $T : X \rightarrow X$  satisfying the following:*

- (i)  $\|Tx - x\| \leq \varepsilon\|x\|$  for all  $x \in F$ ;
- (ii)  $\|T\| \leq \lambda/\theta$ ;
- (iii)  $T^*[X^*] \subset Y$ .

*In particular, if  $X$  is separable, then there exists a sequence of finite-rank operators  $T_n : X \rightarrow X$  with  $T_n x \rightarrow x$  for all  $x \in X$  and  $T_n^*[X^*] \subset Y$  for all  $n \in \mathbb{N}$ .*

*Proof.* Let  $F$  be a finite-dimensional subspace of  $X$  and  $\varepsilon > 0$ . By passing to a larger subspace, we may assume that  $F = \{y_i : i = 1, \dots, n\}$ , where the map  $A : \ell_\infty^n \rightarrow F$  with  $Ae_i = y_i$  is invertible with  $\|A\|\|A^{-1}\| \leq \lambda$ . By the Hahn–Banach theorem, there is a sequence  $(y_i^*)_{i=1}^n$  in  $X^*$ , biorthogonal to  $(y_i)_{i=1}^n$ , such that  $\|y_i^*\| \leq \|A^{-1}\|$  for  $i = 1, \dots, n$ . A separation theorem yields that  $B_{X^*} \subset \theta^{-1}\overline{B_Y}^{w^*}$  and hence we may choose  $\tilde{y}_i^* \in (\theta^{-1}\|A^{-1}\|)B_Y$  such that  $\|(\tilde{y}_i^* - y_i^*)|_F\| < \varepsilon/\|A\|$  for  $i = 1, \dots, n$ . Define  $T : X \rightarrow X$  with  $Tx = \sum_{i=1}^n \tilde{y}_i^*(x)y_i$ . Some standard calculations yield that  $T$  is the desired operator.  $\square$

The following terminology is from [9]. If  $E_1, E_2$  are subspaces of a Banach space  $X$  and  $\varepsilon > 0$ , we say that  $E_2$  is  $\varepsilon$ -close to  $E_1$  if there is an invertible operator  $T$  from  $E_1$  onto  $E_2$  with  $\|Tx - x\| \leq \varepsilon\|x\|$  for all  $x \in E_1$ . Note that if  $E_2$  is  $\varepsilon$ -close to  $E_1$ , then  $E_1$  is  $\varepsilon/(1 - \varepsilon)$ -close to  $E_2$ .

LEMMA 3.4. *Let  $X$  be a Banach space and  $(x_i^*)_{i=1}^\infty$  be a sequence in  $X^*$  which is equivalent to the unit vector basis of  $\ell_1(\mathbb{N})$ . Assume moreover that there exists a sequence of bounded finite-rank projections  $(Q_n)_n$  satisfying the following.*

- (i)  $Q_n : X \rightarrow X$ ,  $Q_n[X] \subset Q_{n+1}[X]$  for all  $n \in \mathbb{N}$  and  $Q_n x \rightarrow x$  for all  $x \in X$ .
- (ii) *There are a strictly increasing sequence of natural numbers  $(k_n)_n$  and  $0 < \varepsilon < 1$  with  $\varepsilon/(1 - \varepsilon) < (\sup_n \|Q_n\|)^{-1}$  such that  $Q_n^*[X^*]$  is  $\varepsilon$ -close to the space  $\{x_i^* : i = 1, \dots, k_n\}$  for all  $n \in \mathbb{N}$ .*

*Then  $X$  is isomorphic to a Bourgain–Delbaen space.*

*Proof.* Note that (i) implies that  $\bigcup_n Q_n[X]$  is dense in  $X$ . Define a linear operator  $U : X \rightarrow \ell_\infty(\mathbb{N})$  with  $Ux = (x_i^*(x))_i$  for all  $x \in X$ ; evidently  $U$  is bounded. Set  $\Gamma_n = \{1, \dots, k_n\}$  for all  $n \in \mathbb{N}$  and  $Y_n = U Q_n[X]$ . We shall prove that  $U$  is an isomorphic embedding and that the restriction operators onto the first  $k_n$  coordinates  $r_{k_n} : Y_n \rightarrow \ell_\infty(\{1, \dots, k_n\})$  are onto  $C$ -isomorphisms for all  $n \in \mathbb{N}$  for a uniform constant  $C$ . Given the aforementioned facts and Remark 2.7, the desired result follows easily.

Since  $\bigcup_n Q_n[X]$  is dense in  $X$ , to show that  $U$  is an isomorphic embedding, it is enough to find a uniform constant  $c > 0$  such that  $\|Ux\| \geq c\|x\|$  for every  $x \in Q_n[X]$  and for every  $n \in \mathbb{N}$ . To this end, let  $S : [(x_i^*)_i] \rightarrow \ell_1(\mathbb{N})$  be the onto isomorphism with  $Sx_i^* = e_i$  for all  $i \in \mathbb{N}$ . Let  $n \in \mathbb{N}$  and  $x \in Q_n[X]$ . The Hahn–Banach theorem and the fact that  $\langle \{x_i^* : i = 1, \dots, k_n\} \rangle$  is  $\varepsilon/(1 - \varepsilon)$ -close to  $Q_n^*[X^*]$ , in conjunction with some tedious computations, yield that there exists an  $i_0 \in \{1, \dots, k_n\}$  such that

$$|x_{i_0}^*(x)| \geq (1 - \varepsilon) \frac{1 - (\varepsilon/(1 - \varepsilon))\|Q_n\|}{\|S\|\|Q_n\|} \|x\|. \tag{6}$$

Setting  $c = (1 - \varepsilon)(1 - (\varepsilon/(1 - \varepsilon)) \sup_k \|Q_k\|)/(\|S\| \sup_k \|Q_k\|)$ , we conclude that  $\|Ux\| \geq c\|x\|$ .

It remains to show that there exists a constant  $C > 0$  such that  $r_{k_n} : Y_n \rightarrow \ell_\infty(\{1, \dots, k_n\})$  is an onto  $C$ -isomorphism for every  $n \in \mathbb{N}$ . Let  $n \in \mathbb{N}$  and  $z \in Y_n$ . Set  $x = U^{-1}z \in Q_n[X]$ . Then, by (6), there is an  $i_0 \in \{1, \dots, k_n\}$  such that

$$\|z\| \geq \|r_{k_n}(z)\| \geq |x_{i_0}^*(x)| \geq c\|x\| \geq \frac{c}{\|U\|} \|z\|.$$

Setting  $C = \|U\|/c$ , we conclude that  $r_{k_n}|_{Y_n}$  is a  $C$ -isomorphic embedding. Finally, observe that  $\dim Y_n = \dim Q_n[X] = \dim Q_n^*[X^*] = k_n$  and hence  $r_{k_n}|_{Y_n}$  is also onto. □

The following lemma and its proof can be found in [9, Lemma 4.3].

**LEMMA 3.5.** *Let  $X$  be a separable Banach space and  $Y$  be a separable subspace of  $X^*$ . Assume moreover that  $(P_n)_n, (T_n)_n$  are bounded finite-rank operators satisfying the following conditions.*

- (a)  $P_n : X \rightarrow X, P_n^*[X^*] \subset Y$  and  $T_n : X^* \rightarrow Y$  for all  $n \in \mathbb{N}$ .
- (b)  $P_n x \rightarrow x$  for all  $x \in X, T_n y \rightarrow y$  for all  $y \in Y$  and  $\sup_n \|T_n\| < \infty$ .
- (c) The operators  $(T_n)_n$  are projections.

*If  $E, F$  are finite-dimensional subspaces of  $X, Y$  respectively and  $0 < \varepsilon < 1$ , then there exists a projection  $Q : X \rightarrow X$  with finite-dimensional range such that:*

- (i)  $Qe = e$  for all  $e \in E$ ;
- (ii)  $Q^*f = f$  for all  $f \in F$ ;
- (iii)  $Q^*[X^*] \subset Y$ ;
- (iv)  $\|Q\| \leq 2c + 2K + 4cK$ , where  $c = \sup_n \|P_n\|$  and  $K = \sup_n \|T_n\|$ ;
- (v)  $Q^*[X^*]$  is  $\varepsilon$ -close to  $T_n[X^*]$  for some integer  $n$ .



**THEOREM 3.6.** *Every separable infinite-dimensional  $\mathcal{L}_\infty$ -space is isomorphic to a Bourgain–Delbaen space.*

*Proof.* Let  $X$  be a separable infinite-dimensional  $\mathcal{L}_\infty$ -space. By Lemma 3.2, there exist a sequence  $(x_i^*)_i$  in  $X^*$  which is equivalent to the unit vector basis of  $\ell_1(\mathbb{N})$ , a constant  $\theta > 0$  such that  $\theta\|x\| \leq \sup_i |x_i^*(x)|$  for all  $x \in X$  and a bounded linear projection  $P : X^* \rightarrow Y = [(x_i^*)_i]$ . For  $n \in \mathbb{N}$ , define  $T_n : X^* \rightarrow Y$  with  $T_n = S_n \circ P$ , where  $S_n : Y \rightarrow Y$  denotes the basis projection of  $(x_i^*)_i$  onto the first  $n$  coordinates. Also apply Lemma 3.3 to find a sequence of finite-rank operators  $P_n : X \rightarrow X$  such that  $P_n x \rightarrow x$  for all  $x \in X$  and  $P_n^*[X^*] \subset Y$  for all  $n \in \mathbb{N}$ . Assumptions (a), (b) and (c) of Lemma 3.5 are evidently satisfied.

Choose  $\varepsilon > 0$  with  $\varepsilon/(1-\varepsilon) < 1/(2c+2K+4cK)$ , where  $c = \sup_n \|P_n\|$  and  $K = \sup_n \|T_n\|$ . Recursively, setting  $E_n = P_n[X] + Q_{n-1}[X]$ , where  $Q_0$  is the zero operator on  $X$ , and  $F_n = \{0\}$ , using Lemma 3.5, choose  $(Q_n)_n$ , a sequence of bounded linear projections on  $X$ , such that  $P_n[X] \subset Q_n[X] \subset Q_{n+1}[X]$ ,  $\|Q_n\| \leq 2c+2K+4cK$  for all  $n \in \mathbb{N}$  and there exist natural numbers  $(k_n)_n$  such that  $Q_n^*[X^*]$  is  $\varepsilon$ -close to  $T_{k_n}[X^*] = \langle \{x_i^* : i = 1, \dots, k_n\} \rangle$  for all  $n \in \mathbb{N}$ . Note that  $\dim Q_n[X] \rightarrow \infty$  and hence, by passing to a subsequence, we may assume that  $(k_n)_n$  is strictly increasing. We conclude that the sequences  $(x_i^*)_{i=1}^\infty$  and  $(Q_n)_n$  witness the fact that the space  $X$  satisfies the assumptions of Lemma 3.4 and therefore it is isomorphic to a Bourgain–Delbaen space.  $\square$

§4. *Separable infinite-dimensional  $\mathcal{L}_\infty$ -spaces contain  $\mathcal{L}_\infty$ -subspaces of infinite co-dimension.* Using the main result of §3, we prove that every infinite-dimensional  $\mathcal{L}_\infty$ -space  $X$  contains an infinite-dimensional  $\mathcal{L}_\infty$ -subspace  $Z$ , so that the quotient  $X/Z$  is isomorphic to  $c_0$ .

**LEMMA 4.1.** *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space and assume that there exists a decreasing sequence of positive real numbers  $(\varepsilon_q)_{q=1}^\infty$ , with  $2C^2 \sum_q \varepsilon_q < 1$ , where  $C = \sup_q \|i_q\|$ , so that for every  $q \in \mathbb{N}$  there exist  $\gamma_1^q, \gamma_2^q \in \Delta_q$  with  $\gamma_1^q \neq \gamma_2^q$  satisfying  $\|e_{\gamma_1^q}^* \circ i_p \circ r_p - e_{\gamma_2^q}^* \circ i_p \circ r_p\| < \varepsilon_q$  for  $p = 0, 1, \dots, q - 1$ . Then  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  contains an infinite-dimensional  $\mathcal{L}_\infty$ -subspace  $Z$ .*

*Proof.* Define  $R_q = \{\gamma_1^q, \gamma_2^q\}$ ,  $S_q = \bigcup_{p=1}^q R_p$  for  $q \in \mathbb{N}$  and  $S = \bigcup_{q=1}^\infty S_q$ . Define  $N_0 = M_0 = \langle \{d_\gamma : \gamma \in \Delta_0\} \rangle$  and

$$N_q = \langle \{d_\gamma : \gamma \in \Delta_q \setminus R_q\} \cup \{d_{\gamma_1^q} + d_{\gamma_2^q}\} \rangle$$

for  $q \in \mathbb{N}$ . Note that  $N_q$  is a subspace of  $M_q$  of co-dimension one for every  $q \in \mathbb{N}$ . Define

$$Z_q = \langle \{d_\gamma : \gamma \in \Gamma_q \setminus S_q\} \cup \{d_{\gamma_1^p} + d_{\gamma_2^p} : p = 1, \dots, q\} \rangle$$

for all  $q \in \mathbb{N}$ . Observe that  $Z_q = N_0 + \dots + N_q$  for all  $q \in \mathbb{N}$  and that  $(Z_q)_q$  is an increasing sequence of finite-dimensional spaces whose union is dense in the space

$$Z = \overline{\langle \{d_\gamma : \gamma \in \Gamma \setminus S\} \cup \{d_{\gamma_1^q} + d_{\gamma_2^q} : q \in \mathbb{N}\} \rangle}.$$

We shall prove that  $Z$  is the desired subspace. To begin,  $Z$  is clearly infinite dimensional. More precisely, the spaces  $(N_q)_q$  form an FDD for the space  $Z$  with a projection constant  $C$ .

To conclude that  $Z$  is indeed a  $\mathcal{L}_\infty$ -space, it suffices to show that for each  $q \in \mathbb{N}$  the space  $Z_q$  is  $((1 + \varepsilon)/(1 - \varepsilon))C$ -isomorphic to  $\ell_\infty^{n_q}$ , where  $\varepsilon = 2C^2 \sum_{q=1}^\infty \varepsilon_q$  and  $n_q = \dim Z_q = \#\Gamma_q - q$ . For  $q \in \mathbb{N}$ , we define a subspace of  $\ell_\infty(\Gamma_q)$  as follows:

$$W_q = \langle \{e_\gamma : \gamma \in \Gamma_q \setminus S_q\} \cup \{e_{\gamma_1^p} + e_{\gamma_2^p} : p = 1, \dots, q\} \rangle.$$

Also define  $\tilde{W}_q = i_q[W_q]$ . Observe that  $W_q$  is isometric to  $\ell_\infty^{n_q}$  and, therefore, by Remark 2.6,  $\tilde{W}_q$  is  $C$ -isomorphic to  $\ell_\infty^{n_q}$ . Hence, if we find a linear map  $T_q : Z_q \rightarrow \tilde{W}_q$  with  $\|T_q x - x\| \leq \varepsilon \|x\|$  for all  $x \in Z_q$ , the proof will be complete.

Let us fix  $q \in \mathbb{N}$  and observe that if  $x \in N_p$ , for some  $0 \leq p \leq q$ , then  $x = i_p(y)$ , where  $y \in \langle \{e_\gamma : \gamma \in \Delta_q \setminus S_q\} \cup \{e_{\gamma_1^s} + e_{\gamma_2^s}\} \rangle$ . For  $p = 0, \dots, q$ , we define  $T_{q,p} : N_p \rightarrow W_q$  as follows:

$$T_{q,p}(x)(\gamma) = \begin{cases} y(\gamma) & \text{if } \text{rank}(\gamma) \leq p, \\ i_p(y)(\gamma) & \text{if } \text{rank}(\gamma) > p \text{ and } \gamma \notin S_q, \\ i_p(y)(\gamma_1^s) & \text{if } \text{rank}(\gamma) > p \text{ and } \gamma = \gamma_1^s \text{ for some } s \in (p, q], \\ i_p(y)(\gamma_2^s) & \text{if } \text{rank}(\gamma) > p \text{ and } \gamma = \gamma_2^s \text{ for some } s \in (p, q]. \end{cases}$$

Observe that the map is linear and well defined. Also observe that if  $x(\gamma) \neq T_{q,p}(x)(\gamma)$ , for some  $\gamma \in \Gamma_q$ , then necessarily there is an  $s \in (p, q]$  so that  $\gamma = \gamma_2^s$  and  $T_{q,p}(x)(\gamma) = i_p(y)(\gamma_1^s) = e_{\gamma_1^s}^* \circ i_p \circ r_p(x)$ . Hence, for such a  $\gamma \in \Gamma_q$ ,

$$|x(\gamma) - T_{q,p}(x)(\gamma)| = |e_{\gamma_2^s}^* \circ i_p \circ r_p(x) - e_{\gamma_1^s}^* \circ i_p \circ r_p(x)| < \varepsilon_s \|x\| \leq \varepsilon_{p+1} \|x\|.$$

We conclude that  $\|r_q(x) - T_{q,p}(x)\| \leq \varepsilon_{p+1} \|x\|$  (actually observe that if  $p = q$ , then  $r_q(x) = T_{q,q}(x)$ ) and hence if we define  $\tilde{T}_{q,p} : N_p \rightarrow \tilde{W}_q$  with  $\tilde{T}_{q,p} = i_q \circ T_{q,p}$ , then

$$\|x - \tilde{T}_{q,p}(x)\| \leq C \varepsilon_{p+1} \|x\|$$

for all  $x \in N_p$  and  $p = 0, \dots, q$ . As we previously mentioned,  $(N_p)_p$  is an FDD for  $Z$  with a projection constant  $C$ , so we may consider the associated projections  $Q_{\{p\}} : Z \rightarrow N_p$  for all  $p$ . Define  $T_q : Z_q \rightarrow \tilde{W}_q$  with  $T_q = \sum_{p=0}^q \tilde{T}_{q,p} \circ Q_{\{p\}}$ . Some simple calculations using  $\|Q_{\{p\}}\| \leq 2C$  for all  $p$  yield that  $T_q$  is the desired operator. □

We recall that it is known that separable  $\mathcal{L}_\infty$ -spaces have  $c_0$  as a quotient [2, 13].

LEMMA 4.2. *Let  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  be a Bourgain–Delbaen space satisfying the assumptions of Lemma 4.1. If  $Z$  is the subspace constructed in that lemma, then the quotient  $\mathfrak{X}_{(\Gamma_q, i_q)_q}/Z$  is isomorphic to  $c_0(\mathbb{N})$ .*

*Proof.* Following the notation of the proof of Lemma 4.1, let  $Z$  be the constructed subspace and let also  $W$  be the closed linear span of the vectors  $d_{\gamma_1^q} - d_{\gamma_2^q}$ ,  $q \in \mathbb{N}$ . Let  $Q : \mathfrak{X}_{(\Gamma_q, i_q)_q} \rightarrow \mathfrak{X}_{(\Gamma_q, i_q)_q}/Z$  denote the corresponding quotient map and for  $q \in \mathbb{N}$  define  $y_q = Q(d_{\gamma_1^q} - d_{\gamma_2^q})$ . It is not very difficult to prove that  $(y_q)_q$  is a Schauder basis of  $\mathfrak{X}_{(\Gamma_q, i_q)_q}/Z$  with projection constant  $C = \sup_q \|i_q\|$ . Let  $w_q^* = 1/2(d_{\gamma_1^q}^* - d_{\gamma_2^q}^*)$  for all  $q \in \mathbb{N}$  and  $(y_q^*)_q \subset (\mathfrak{X}_{(\Gamma_q, i_q)_q}/Z)^*$  be the biorthogonal sequence of  $(y_q)_q$ . It follows that the sequences  $(w_q^*)_q$  and  $(y_q^*)_q$  are naturally isometrically equivalent and that  $\|w_q^* - 2(e_{\gamma_1^q}^* - e_{\gamma_2^q}^*)\| \leq 2\varepsilon_q$  for all  $q$ . Proposition 2.13 yields that  $(y_q^*)_q$  is equivalent to the unit vector basis of  $\ell_1$ , which indeed implies that  $(y_q)_q$  is equivalent to the unit vector basis of  $c_0(\mathbb{N})$ .  $\square$

**PROPOSITION 4.3.** *Every separable infinite-dimensional  $\mathcal{L}_\infty$ -space  $X$  contains an infinite-dimensional  $\mathcal{L}_\infty$ -subspace  $Z$ , so that the quotient  $X/Z$  is isomorphic to  $c_0(\mathbb{N})$ . In other words, every separable infinite-dimensional  $\mathcal{L}_\infty$ -space  $X$  is the twisted sum of a  $\mathcal{L}_\infty$ -space  $Z$  and  $c_0(\mathbb{N})$ .*

*Proof.* By virtue of Theorem 3.6, we may assume that  $X$  is a Bourgain–Delbaen space  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . We start by observing that for every strictly increasing sequence  $(q_s)_{s=0}^\infty$  of  $\mathbb{N} \cup \{0\}$ , the Bourgain–Delbaen spaces  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$  and  $\mathfrak{X}_{(\Gamma_{q_s}, i_{q_s})_s}$  are actually equal. This follows from Proposition 2.12, in particular the fact that  $\bigcup_q Y_q$  is dense in  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . It is therefore sufficient to find an appropriate increasing sequence  $(q_s)_{s=0}^\infty$  so that the space  $\mathfrak{X}_{(\Gamma_{q_s}, i_{q_s})_s}$  satisfies the assumptions of Lemma 4.1 (and hence also those of Lemma 4.2).

Fix a decreasing sequence of positive real numbers  $(\varepsilon_q)_{q=1}^\infty$ , with  $\sum_q \varepsilon_q < 1/(2C^2)$ , where  $C = \sup_q \|i_q\|$ . A compactness argument yields that for every  $\varepsilon > 0$  and  $q \in \mathbb{N} \cup \{0\}$ , there exist non-equal  $\gamma_1, \gamma_2 \in \Gamma \setminus \Gamma_q$  so that  $\|e_{\gamma_1}^* \circ i_p \circ r_p - e_{\gamma_2}^* \circ i_p \circ r_p\| < \varepsilon$  for  $p = 0, \dots, q$ . Set  $q_0 = 0$  and, using the above, recursively choose a strictly increasing sequence  $(q_s)_{s=0}^\infty$  so that for every  $s \in \mathbb{N}$  there are distinct  $\gamma_1^s, \gamma_2^s \in \Gamma_{q_s} \setminus \Gamma_{q_{s-1}}$  with  $\|e_{\gamma_1^s}^* \circ i_{q_t} \circ r_{q_t} - e_{\gamma_2^s}^* \circ i_{q_t} \circ r_{q_t}\| \leq \varepsilon_s$  for  $t = 0, \dots, s - 1$ .  $\square$

**§5. A  $\mathcal{L}_\infty$  and asymptotic  $c_0$  space not containing  $c_0$ .** We employ the Bourgain–Delbaen method to define an isomorphic  $\ell_1$ -predual  $\mathfrak{X}_0$ , which is asymptotic  $c_0$  and does not contain a copy of  $c_0$ . We follow notation similar to that used in [6] and [5].

**5.1. Definition of the space  $\mathfrak{X}_0$ .** We fix a natural number  $N \geq 3$  and a constant  $1 < \theta < N/2$ . Define  $\Delta_0 = \{0\}$  and assume that we have defined the sets  $\Delta_0, \Delta_1, \dots, \Delta_q$ . We set  $\Gamma_p = \bigcup_{i=0}^p \Gamma_i$  and, for each  $\gamma \in \Gamma_q$ , we denote by  $\text{rank}(\gamma)$  the unique  $p$  so that  $\gamma \in \Delta_p$ . Assume that to each  $\gamma \in \Gamma_q \setminus \Gamma_0$  we have assigned a natural number in  $\{1, \dots, n\}$ , called the age of  $\gamma$  and denoted by  $\text{age}(\gamma)$ .

Assume moreover that we have defined extension functionals  $(c_\gamma^*)_{\gamma \in \Delta_p}$  and extension operators  $i_{p-1,p} : \ell_\infty(\Gamma_{p-1}) \rightarrow \ell_\infty(\Gamma_p)$  so that

$$i_{p-1,p}(x)(\gamma) = \begin{cases} x(\gamma) & \text{if } \gamma \in \Gamma_{p-1}, \\ c_\gamma^*(x) & \text{if } \gamma \in \Delta_p \end{cases} \tag{7}$$

for  $p = 1, \dots, q$ . If  $0 \leq p < s \leq q$ , we define  $i_{p,s} = i_{s-1,s} \circ \dots \circ i_{p,p+1}$  and we also denote by  $i_{p,p}$  the identity operator on  $\ell_\infty(\Gamma_p)$ .

We define  $\Delta_{q+1}$  to be the set of all tuples of one of the two forms described below:

$$(q + 1, n, (\varepsilon_i)_{i=1}^k, (E_i)_{i=1}^k, (\eta_i)_{i=1}^k), \tag{8a}$$

where  $n \leq (\#\Gamma_q)^2$ ,  $1 \leq k \leq n$ ,  $\varepsilon_i \in \{-1, 1\}$  for  $i = 1, \dots, k$ ,  $(E_i)_{i=1}^k$  is a sequence of successive intervals of  $\{0, \dots, q\}$  and  $\eta_i \in \Gamma_q$  with  $\text{rank}(\eta_i) \in E_i$  for  $i = 1, \dots, k$ , or

$$(q + 1, \xi, n, (\varepsilon_i)_{i=1}^k, (E_i)_{i=1}^k, (\eta_i)_{i=1}^k), \tag{8b}$$

where  $\xi \in \Gamma_{q-1} \setminus \Gamma_0$  with  $\text{age}(\xi) < N$ ,  $(\#\Gamma_{\text{rank}(\xi)})^2 \leq n \leq (\#\Gamma_q)^2$ ,  $1 \leq k \leq n$ ,  $\varepsilon_i \in \{-1, 1\}$  for  $i = 1, \dots, k$ ,  $(E_i)_{i=1}^k$  is a sequence of successive intervals of  $\{\text{rank}(\xi) + 1, \dots, q\}$  and  $\eta_i \in \Gamma_q$  with  $\text{rank}(\eta_i) \in E_i$  for  $i = 1, \dots, k$ .

To each  $\gamma \in \Delta_{q+1}$ , we assign an extension functional  $c_\gamma^* : \ell_\infty(\Delta_q) \rightarrow \mathbb{R}$ . If  $0 \leq p \leq q$ , we define the projection  $P_{[0,p]}^q x = i_{p,q} \circ r_p(x)$  while if  $0 \leq p \leq s \leq q$ , we define the projection  $P_{(p,s]}^q = P_{[0,s]}^q - P_{[0,p]}^q$ . If  $\gamma \in \Delta_{q+1}$  is of the form (8a), we set  $\text{age}(\gamma) = 1$  and define the extension functional  $c_\gamma^*$  as follows:

$$c_\gamma^* = \frac{\theta}{N} \frac{1}{n} \sum_{i=1}^k \varepsilon_i e_{\eta_i}^* \circ P_{E_i}^q. \tag{9a}$$

If  $\gamma \in \Delta_{q+1}$  is of the form (8b), we set  $\text{age}(\gamma) = \text{age}(\xi) + 1$  and define the extension functional  $c_\gamma^* : \ell_\infty(\Delta_q) \rightarrow \mathbb{R}$  as follows:

$$c_\gamma^* = e_\xi^* + \frac{\theta}{N} \frac{1}{n} \sum_{i=1}^k \varepsilon_i e_{\eta_i}^* \circ P_{E_i}^q. \tag{9b}$$

The inductive construction is complete. We set  $\Gamma = \bigcup_{q=1}^\infty \Delta_q$  and, for each  $q \in \mathbb{N} \cup \{0\}$ , we define the extension operator  $i_q : \ell_\infty(\Gamma_q) \rightarrow \ell_\infty(\Gamma)$  by the rule

$$i_q(x)(\gamma) = \begin{cases} x(\gamma) & \text{if } \gamma \in \Gamma_q, \\ i_{q,p}(x)(\gamma) & \text{if } \gamma \in \Delta_p \text{ for some } p > q. \end{cases}$$

Standard arguments yield that  $(i_q)_{q=0}^\infty$  is a compatible sequence of extension operators with  $\sup_q \|i_q\| \leq N/(N - 2\theta)$ . We denote by  $\mathfrak{X}_0$  the resulting Bourgain–Delbaen space  $\mathfrak{X}_{(\Gamma_q, i_q)_q}$ . We shall use the notation from §2.1.

*Remark 5.1.* By Remark 2.9, we obtain that for every interval  $E$  of the natural numbers,  $\|P_E\| \leq 2N/(N - 2\theta)$ . It follows that for every  $\gamma \in \Gamma$  and such  $E$ ,  $\|e_\gamma^* \circ P_E\| \leq 2N/(N - 2\theta)$ , in particular  $\|d_\gamma^*\| \leq 2N/(N - 2\theta)$ .

*Remark 5.2.* We enumerate the set  $\Gamma = \bigcup_{q=0}^\infty \Delta_q$  in such a manner that the sets  $\Delta_q$  correspond to successive intervals of  $\mathbb{N}$ . If we denote this enumeration by  $\Gamma = \{\gamma_i : i \in \mathbb{N}\}$ , according to Remark 2.10,  $(d_{\gamma_i})_i$  forms a Schauder basis for  $\mathfrak{X}_0$ . It is with respect to this basis that we show that the space  $\mathfrak{X}_0$  is asymptotic  $c_0$ . However, when we write  $P_E$  we shall mean the Bourgain–Delbaen projection onto  $E$  as defined in Remark 2.9.

Arguing as in [5, Proposition 4.5], each  $e_\gamma^*$  admits an analysis.

**PROPOSITION 5.3.** *Let  $\gamma \in \Gamma$  with  $\text{rank}(\gamma) > 0$ . The functional  $e_\gamma^*$  admits a unique analysis of the following form:*

$$e_\gamma^* = \sum_{r=1}^a d_{\xi_r}^* + \frac{\theta}{N} \sum_{r=1}^a \frac{1}{n_r} \sum_{i=1}^{k_r} \varepsilon_{r,i} e_{\eta_{r,i}}^* \circ P_{E_{r,i}}, \tag{10}$$

where  $a = \text{age}(\gamma) \leq N$ , the  $\xi_i$  are in  $\Gamma \setminus \Gamma_0$  with  $\xi_a = \gamma$ , the  $E_{r,i}$  are intervals of  $\mathbb{N} \cup \{0\}$  with  $E_{1,1} < \dots < E_{1,k_1} < \text{rank}(\xi_1) < E_{2,1} < \dots < E_{a,k_a} < \text{rank}(\xi_a)$ , the  $\eta_{r,i}$  are in  $\Gamma$  with  $\text{rank}(\eta_{r,i}) \in E_{r,i}$ , the  $\varepsilon_{r,i}$  are in  $\{-1, 1\}$ ,  $n_r > (\#\Gamma_{\text{rank}(\xi_{r-1})})^2$  for  $r = 2, \dots, a$  and  $1 \leq k_r \leq n_r$  for  $r = 1, \dots, a$ .

*Remark 5.4.* Note that if in (10) we set  $f_r = (1/n_r) \sum_{i=1}^{k_r} \varepsilon_{r,i} e_{\eta_{r,i}}^* \circ P_{E_{r,i}}$ , then  $(f_r)_{r=1}^a$  constitutes a very fast growing sequence of  $\alpha$ -averages, in the sense of [3].

### 5.2. The main property of the space $\mathfrak{X}_0$ .

**LEMMA 5.5.** *Let  $x_1 < \dots < x_m$  be blocks of  $(d_{\gamma_i})_i$  of norm at most one,  $E_1 < \dots < E_k$  be intervals of  $\mathbb{N} \cup \{0\}$ ,  $(\eta_i)_{i=1}^k$  be a sequence in  $\Gamma$  with  $\text{rank}(\eta_i) \in E_i$ , for  $i = 1, \dots, k$ ,  $(\varepsilon_i)_{i=1}^k$  be a sequence in  $\{-1, 1\}$  and let  $n \geq \max\{m^2, k\}$ . Then*

$$\left| \left( \frac{1}{n} \sum_{i=1}^k \varepsilon_i e_{\eta_i}^* \circ P_{E_i} \right) \left( \sum_{j=1}^m x_j \right) \right| \leq \frac{4N}{N - 2\theta}. \tag{11}$$

*Proof.* We shall consider the support and range of vectors with respect to the basis  $(d_{\gamma_i})_i$ . Set  $x_i^* = \varepsilon_i e_{\eta_i}^* \circ P_{E_i}$  for  $i = 1, \dots, k$ . Note that  $(x_i^*)_{i=1}^k$  is a successive block sequence of  $(d_{\gamma_i}^*)_i$  and, by Remark 5.1,  $\|x_i^*\| \leq 2N/(N - 2\theta)$  for  $i = 1, \dots, k$ . Let  $I_1$  be the set of those  $i \leq k$  such that the support of  $x_i^*$  intersects the range of at least two of the  $x_j$ . Then  $\#I_1 \leq m$  and so  $|((1/n) \sum_{i \in I_1} x_i^*)(\sum_{j=1}^m x_j)| \leq (2N/(N - 2\theta))m^2/n \leq 2N/(N - \theta)$ . Let  $I_2 = \{i \leq n : i \notin I_1\}$ . It is clear that  $|((1/n) \sum_{i \in I_2} x_i^*)(\sum_{j=1}^m x_j)| \leq 2N/(N - 2\theta)$  and the proof is complete.  $\square$

**PROPOSITION 5.6.** *Set  $K_{N,\theta} = (2N^3 + 4\theta N^2 - 4\theta N)/(N^2 - 3\theta N + 2\theta^2)$  and let  $u_1 < \dots < u_m$  be blocks of  $(d_{\gamma_i})_i$  of norm at most equal to one so that if we consider the support of the vectors  $u_i$  with respect to the basis  $(d_{\gamma_i})_i$ , then  $m \leq \min \text{supp } u_1$ . Then  $\|\sum_{i=1}^m u_i\| \leq K_{N,\theta}$ .*

*Proof.* Set  $u = \sum_{i=1}^m u_i$ . We use induction on  $\text{rank}(\gamma)$  to show that for every  $\gamma \in \Gamma$  and every interval  $E$  of  $\mathbb{N}$ ,  $|e_\gamma^* \circ P_E(u)| \leq K_{N,\theta}$ . This assertion is easy when  $\text{rank}(\gamma) = 0$ . Assume that  $q \in \mathbb{N} \cup \{0\}$  is such that the assertion holds for every  $\gamma \in \Gamma_q$  and  $E$  interval of  $\mathbb{N}$  and let  $\gamma \in \Gamma_{q+1} \setminus \Gamma_q$  and  $E$  be an interval of  $\mathbb{N}$ . Applying Proposition 5.3, write

$$e_\gamma^* = \sum_{r=1}^a d_{\xi_r}^* + \frac{\theta}{N} \sum_{r=1}^a \frac{1}{n_r} \sum_{i=1}^{k_r} \varepsilon_{r,i} e_{\eta_{r,i}}^* \circ P_{E_{r,i}},$$

so that the conclusion of that proposition is satisfied. For  $r = 1, \dots, a$ , define  $y_r^* = (1/n_r) \sum_{i=1}^{k_r} \varepsilon_{r,i} e_{\eta_{r,i}}^* \circ P_{E_{r,i} \cap E}$  and  $G = \{r : \text{rank}(\xi_r) \in E\}$ . Observe that  $e_\gamma^* \circ P_E = \sum_{r \in G} d_{\xi_r}^* + (\theta/N) \sum_{r=1}^a y_r^*$ .

If  $\xi_r = \gamma_{i_r}$ , note that  $i_1 < \dots < i_a$ . Considering the support of  $u$  with respect to the basis  $(d_{\gamma_i})_i$ , set  $r_0 = \min\{r : i_r \geq \min \text{supp } u\}$ . The inductive assumption yields that  $|y_{r_0}^*(u)| \leq K_{N,\theta}$ , while the growth condition on the  $n_r$  implies that  $n_r > m^2$  for  $r > r_0$ . Lemma 5.5 yields

$$\frac{\theta}{N} \sum_{r=1}^a |y_r^*(u)| \leq \frac{\theta}{N} \left( K_{N,\theta} + (N-1) \frac{4N}{N-2\theta} \right), \tag{12}$$

while Remark 5.1 implies that

$$\sum_{r \in G} |d_{\xi_r}^*(u)| \leq N \frac{2N}{N-2\theta}. \tag{13}$$

Some calculations combining (12) and (13) yield the desired result. □

**PROPOSITION 5.7.** *The space  $\mathfrak{X}_0$  is a  $\mathcal{L}_\infty$ -space with a Schauder basis  $(d_{\gamma_i})_i$  satisfying the following properties.*

- (i) *The basis  $(d_{\gamma_i})_i$  is shrinking, in particular  $\mathfrak{X}_0^*$  is isomorphic to  $\ell_1$ .*
- (ii) *The space  $\mathfrak{X}_0$  is asymptotic  $c_0$  with respect to the basis  $(d_{\gamma_i})_i$ .*
- (iii) *The space  $\mathfrak{X}_0$  does not contain an isomorphic copy of  $c_0$ .*

*Proof.* The fact that  $\mathfrak{X}_0$  is asymptotic  $c_0$  with respect to  $(d_{\gamma_i})_i$  follows directly from Proposition 5.6. We obtain in particular that  $(d_{\gamma_i})_i$  is shrinking and hence, by Proposition 2.17, the dual of  $\mathfrak{X}_0$  is isomorphic to  $\ell_1$ . To show that  $\mathfrak{X}_0$  does not contain an isomorphic copy of  $c_0$ , let us consider the FDD  $(M_q)_{q=0}^\infty$  as it was defined in Proposition 2.8. Let  $(x_k)_k$  be a sequence of skipped block vectors, with respect to the FDD  $(M_q)_{q=0}^\infty$ , all of which have norm at least equal to one. Then, for every  $\varepsilon > 0$ , there exist a finite subset  $I_1$  of  $\mathbb{N}$  and  $\gamma \in \Gamma$  so that  $e_\gamma^*(\sum_{k \in I_1} x_k) \geq \theta - \varepsilon$ . It follows from this that for all  $n \in \mathbb{N}$ , we can find a  $\gamma \in \Gamma$  and find a finite subset  $J$  of  $\mathbb{N}$  so that  $e_\gamma^*(\sum_{i \in J} x_k) \geq (\theta - \varepsilon)^n$ . Therefore,  $c_0$  is indeed not isomorphic to a subspace of  $\mathfrak{X}_0$ . □

*Remark 5.8.* In [1], Alspach proved that the  $\mathcal{L}_\infty$ -space with separable dual defined in [6] has Szlenk index  $\omega$ . We note that the space  $\mathfrak{X}_0$  has Szlenk index  $\omega$  as well. Indeed, if this were not the case, then, by [2, Theorem 1], we would conclude that  $\mathfrak{X}_0$  has a quotient isomorphic to  $C(\omega^\omega)$ , which would imply that  $\mathfrak{X}_0$  admits an  $\ell_1$  spreading model.

*Remark 5.9.* Every skipped block sequence, with respect to the FDD  $(M_q)_{q=1}^\infty$ , is boundedly complete and hence the space  $\mathfrak{X}_0$  is reflexively saturated and also every block sequence in  $\mathfrak{X}_0$  contains a further block sequence which is unconditional. We also note that a similar method can be used to construct a reflexive asymptotic  $c_0$  space with an unconditional basis. This space is related to Tsirelson's original Banach space [15].

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